

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LEE, Martin Adrian  
Serial No.: 10/583,909  
Confirmation No.: 5075  
International Application No.: PCT/GB2004/005295  
International Filing Date: 17 December 2004 (17.12.2004)  
For: Improvements In Or Relating To Drive Systems  
Attorney's Docket No. 13058N/061073  
Customer No. 32885

**INFORMATION DISCLOSURE STATEMENT**  
**(SUBMISSION BEFORE MAILING DATE OF A FIRST ACTION ON THE MERITS)**

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97 and §1.98, applicants hereby submit an Information Disclosure Statement for consideration by the Examiner.

I. List of Patents, Publications or Other Information

The Patents, publications, or other information submitted for consideration by the Office are listed below and on the PTO-1449(s), attached hereto.

## II. Copies

Submitted herewith is a legible copy of each foreign patent; each publication or the portion that caused it to be listed; and all other information that portion which caused it to be listed.

In view of the waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(iii) copies of the cited U.S. Patents are not attached.

## III. Concise Explanation of Relevance

The Patents and other publications listed on the attached Form PTO-1449 are in the English language or an English language abstract is provided. Alternatively, a concise explanation of the relevancy is provided as indicated below.

Several of the attached documents, publications, and/or other information attached hereto are in the English language and do not require a statement of relevancy.

For DE '899 and JP '008, an English-language abstract is attached to each reference. See MPEP § 609 (A)(3).

## IV. Fees

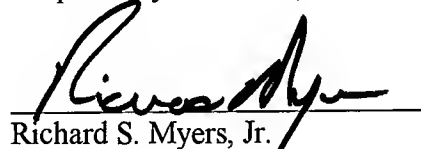
The IDS is being filed under 37 C.F.R. §1.97(b); within three months of the filing date of a national application or before mailing date of a first action on the merits, whichever event occurs last. No fee or statement is required

V. Payment of Fees

No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary).

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Richard S. Myers, Jr.", is written over a horizontal line.

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Attorney for Applicant

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

( Not for submission under 37 CFR 1.99)

Application Number	10583909
Filing Date	2004-12-17
First Named Inventor	LEE, Martin, Adrian
Art Unit	
Examiner Name	Not yet assigned
Attorney Docket Number	13058N/061073

## **U.S.PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Patent citation information please click the Add button.

## **U.S.PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

## **FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2j</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	WO 99/33740	WO					<input type="checkbox"/>
	2	WO 01/14238	WO					<input type="checkbox"/>
	3	DE 32 06 899	DE					<input type="checkbox"/>

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4	JP 2002-372008	JP						<input type="checkbox"/>
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If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1		<input type="checkbox"/>

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**EXAMINER SIGNATURE**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

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**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Richard Myers/	Date (YYYY-MM-DD)	2007-05-03
Name/Print	Richard S. Myers, Jr.	Registration Number	42,022

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**